

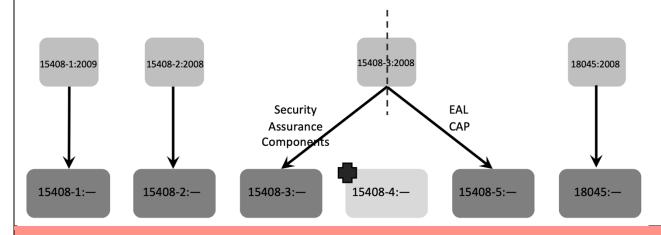
CC:2022 & CEM:2022 (https://www.commoncriteriaportal.org/cc/) (share the same content with ISO/IEC 15408:2022 and ISO/IEC 18045:2022)

CC 3.1R5 new evaluations NOT accepted after June 30, 2024.

CC:2022 & CEM:2022

- **Documentation**
- Part 1 Introduction
- Part 2 SFRs
- Part 3 SARs
- Part 4 defines methods for the specification of evaluation methods and evaluation activities
- Part 5 includes pre-defined assurance packages
- CEM Evaluation methodology

Structure and mapping from CC 3.1R5 to CC:2022 (i.e., ISO/IEC 15408:2008/2009 (all parts) and ISO/IEC 18045:2008)



Change Overview

New conformance type: Exact Conformance

Added Direct Rationale PPs (and STs) - threats map directly to SFRs and/or security objectives for the Operating Environment Removed low assurance PPs

New and updated functional requirements

New and updated assurance requirements

New Part 4 defines methods for the specification of evaluation

methods and evaluation activities

New Part 5 includes pre-defined assurance packages from CC 3.1R5 Part 3 plus PPA (PP assurance), STA (ST assurance), and COMP (Composite product) as new packages.

Added composition of assurance for

- layered composition,
- network/bi-directional
- embedded composition

Added multi-assurance evaluation which use a PP-Configuration



Terminology updated **PP Conformance and Specification-based approach Approaches Exact conformance** ST derives all requirements from the PP or PP-Configuration. ST can only claim exact conformance to one PP-Configuration allowed May use Direct Rationale PPs and STs Attack-based approach: Strict Conformance (P1, E.3) Demonstrable Conformance (P1, E.2) Uses EALs but may use exact conformance if appropriate May use standard or Direct Rationale PPs and STs **Multi Assurance** A single TOE may have components needing differing assurance levels, but a global TOE assurance level must include: conformance with ONLY one multi-assurance PP configuration (P1, 6.3.4.3) Multi-assurance PP-Configuration SARs in PP-Configuration components are NOT identical (P1,11.3.1) **Part 2 New Functional** FCS RBG (Random Bit Generation): this family requires random bit generation to be performed in accordance with selected Requirements standards. FCS RNG (Generation of Random Number): this family defines requirements for the generation of random numbers to use for cryptographic purposes. FDP IRC (Information Retention Control): this family defines how to securely manage or delete Information used by the TOE but no longer needed by the TOE. FDP_SDC (Stored Data Confidentiality): this family addresses protection of user data that is stored in areas protected by the TSF. FIA API (Authentication Proof of Identity): this family allows a TOE to prove its own identity. FMT LIM (Limited Capabilities and Availability): this family assures that the TSF provides / restricts capabilities and functions that are required by the TOE's purpose. **FPT EMS (TOE Emanation**): this family covers limiting emanations which may lead to leakage of data. **FPT INI (TSF Initialization):** this family sets requirements for the TOE to securely and correctly initialize the TSF. FTP PRO (Trusted Channel Protocol): this family defines establishment of a trusted channel to transfer the TSF data and user

data securely.



Part 3 New and Updated Assurance Requirements

New Requirements

PP-Configuration Evaluation

ACE REQ.2 (PP-Module Derived Security Requirements): Evaluation of the security requirements is required to ensure that they are clear, unambiguous, and well-defined.

Composite Product Evaluation

- **ASE COMP (Consistency of Composite Product Security Target):** The goal is to determine whether the ST of the composite product does not contradict the ST of the related base component.
- ADV_COMP (Composite Design Compliance): the goal of this family is determined whether the requirements from the base component to the dependent component are fulfilled in the composite product.
- ALC_COMP (Integration Composition Parts and Consistency **Check of Delivery Procedures**): The goal of this family is to show that the evaluated version of the dependent component has been installed into the evaluated version of the related base component and that delivery processes are compatible.
- ATE COMP (Composite Functional Testing): The goal of this family is to determine whether composite product satisfies the functional requirements of its composite product ST.
- AVA_COMP (Composite Vulnerability Assessment): The goal of this family is to determine the exploitability of flaws/weaknesses in composite product in intended environment.

Life-cycle Support Evaluation

ALC TDA (TOE Development Artifacts): the goal of this family is to generate artefacts to be used in determining if the development process is a trusted process.

Updated Requirements

- APE OBJ.1: new element for security objective rationale
- APE_REQ.1: new elements for security requirement rationale
- ACE INT.1: new elements for PP-Module Base
- ACE CCL.1: new elements for conformance statement
- ACE MCO.1: new elements for assurance rationale
- ACE CCO.1: TOE overview, consistency rationale, and evaluation
- ASE INT.1: multi-assurance ST, evaluation methods and activities identification
- ASE OBI.1 new element for security objective rationale
- ASE REQ.1 new elements for single and mutli-assurance STs, security rationale, evaluation methods and activities
- ADV SPM.1 Updated to require formal TSF model

Part 4 Framework for EMs/EAs

- Framework for specification of evaluation methods (EMs) and evaluation activities (EAs).
- Specifies methods for definition new evaluation activities can be derived from CEM work units for TOE type or technology type.
 - A PP/PP-Module/PP-Configuration must specify one or more EMs/EAs in its conformance statement.
 - A package must specify one or more EMs/EAs in its security requirement section.
 - An **ST** must identify the EMs/EAs used in its **conformance** claim.



- New EMs/EAs may start either from an SAR or an SFR. Guidelines are provided in P4, 4.2.
- Verb usage must align with those define in P1.
- EM structure is described in P4, 5 & Figure 3.
- EA structure is described in P4, 6.

Part 5 Pre-defined Packages

- Includes EALs 1-7 from CC 3.1R5
- Includes Composed Assurance Package (CAP) from CC 3.1R5

New Packages:

- COMP: Composite product package (P5, 6 & Table 13)
- **PPA: PP Assurance packages** (P5, 7)
 - PPA-DR: PP Assurance Direct rationale PP packages (P5, Table 15)
 - PPA-STD: PP Assurance Standard packages (P5, Table 16)
- STA: ST Assurance packages (P5, 8)
 - STA-DR: ST Assurance Direct rationale packages (P5, Table 18) STA-STD: ST Assurance Standard packages (P5, Table 19)

Composition of Assurance

<u>Layered composition</u> - base is independent from dependent component, is not modified by dependent. Dependent component uses base functionality (P1,14).

- **Example:** a hardware integrated circuit (base component) and a software part on top of it (dependent component).
- Supports two evaluation techniques: ACO (CC3.1R5) and COMP (new).
- Added SARs for COMP: (P1, Table 3 & P5, Table 13)
 - ASE COMP.1
 - ADV COMP.1
 - ALC COMP.1
 - ATE COMP.1
 - AVA COMP.1
- ETR (ETR_COMP) contains ETR of base component and its evaluation.
 Content is described in P1, 14.3.
- May require additional evaluation activities to confirm security assurance of entire product

Network / bi-directional - a component uses functionality of another component via communication channel (P1,14);

- Interdependency if specified and controlled
- Both products are separated such that no other channel than the defined one
- Both products implement functionality required to protect the communication channel.
- Example: An application (component A) using functionality of an external LDAP server (component B)

Embedded – a component is used as part of the larger component and so interdependency is contained. Usually, no separation and each part can influence the other (P1,14)

- **Example:** A library or subsystem providing specific security functions as part of a larger product
- If separation is specified, ADV_ARC from Part 3 describes requirements.



Modularization	 No modularization, i.e., the entire TOE Modular: Base PP and PP-Modules (P1,11) Package family: assurance & functional (P1,9.1) APE, ACE or ASE Multi-assurance: PP-Configuration) P1, 6.3.4 & P3, 11 Global set of SARs applicable to all PP-Configuration components and each component has own set of SARs.
CEM Additions and Updates	PP-Configuration evaluation - ETR for PP-Configuration Evaluation (CEM, 9.4.5.3) - APE_CCL includes PP-Configuration - Added ACE_OBJ.2 Exact Conformance evaluation - Added to APE_CCL, ASE_CCL, ACE_CCL, ACE_CCO Multi-assurance evaluation - Added to ACE_CCO, ASE_INT, ASE_REQ Composite product evaluation - Added ASE_COMP.1, ADV_COMP.1, ALC_COMP.1, ATE_COMP.1, AVA_COMP.1 Development evaluation - Added evaluation guidelines for ADV_SPM Life-cycle evaluation - Added ALC_TDA Others - Added Annex C: Evaluation Techniques and Tools